



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,445	11/29/2001	Lexun Xue	2896-4005

CONFIRMATION NO. 3445

FORMALITIES LETTER



OC000000007514910

Date Mailed: 02/21/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Repl: Ref: 06/03/2002 HNMNDL 0007062700
Date: 04/18/2002 Name/Number: 09997445
FC: 105 \$65.00 CR

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 260.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

Adjustment date: 06/03/2002 HNMNDL

04/18/2002 HNMNDL 00000001 134500 09997445

01 FC:105

04/18/2002 HNMNDL 00000001 134500 09997445

01 FC:105 130.00 CR

06/03/2002 HNMNDL 00000001 134500 09997445

01 FC:139 130.00 CH

06/03/2002 HNMNDL 00000002 09997445

01 FC:205

65.00 CR

04/18/2002 HNMNDL 00000001 134500 09997445

01 FC:139 130.00 CH

01 FC:139

01 FC:139

01 FC:139



04-17-02

MP/#

PATENT
U.S.S.N. 09/997,445

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Lexun Xue et al. Group Art Unit: TBA
Serial No. : 09/997,445
Filed : November 29, 2001
For : **Transgenic Dunaliella Salina As a Bioreactor**

Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EV 062 746 614US

Date of Deposit April 15, 2002

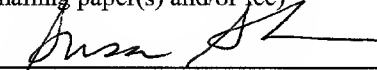
I hereby certify that the following attached paper(s) and/or fee

1. Transmittal Letter;
2. Response to Notice to File Missing Parts Application;
3. Copy of Notice To File Missing Parts Application;
4. Executed Combined Declaration and Power of Attorney;
5. English translation of Application;
6. Check of \$130.00 for fee;
7. Submission of Priority Documents;
8. Certified copy of Chinese Application Nos. 00131217.0 and 01128486.2;
9. Request for Refund;
10. Two executed Statement Claiming Small Entity Status;
11. Express Mail Certificate # EV 062 746 614; and
12. Return postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, DC 20231

Susan Shen

(Typed or printed name of person
mailing paper(s) and/or fee)


(Signature of person mailing
paper(s) and/or fee)

CORRESPONDENCE ADDRESS:
MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Facsimile

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005740-5440000



Docket No. 2896-4005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Lexun Xue et al.
Serial No. : 09/997,445
Filing Date : November 29, 2001
Group Art Unit : TBA
For : Transgenic Dunaliella Salina As a Bioreactor

Commissioner for Patents
Washington, DC 20231

TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith for filing are:

1. Response to Notice to File Missing Parts Application;
2. Copy of Notice To File Missing Parts Application;
3. Executed Combined Declaration and Power of Attorney;
4. English translation of Application;
5. Check of \$130.00 for fee;
6. Submission of Priority Documents;
7. Certified copy of Chinese Application Nos. 00131217.0 and 01128486.2;
8. Request for Refund;
9. Two executed Statement Claiming Small Entity Status;
10. Express Mail Certificate # EV 062 746 614; and
11. Postcard - self addressed and stamped.

The Commissioner is hereby authorized to charge any deficiency in payment or credit any overpayment to Account No. 13-4500. **Order No. 2896-4005.**

Please date, stamp and return the postcard to the undersigned as evidence of receipt.

07/02/2002 MORGAN 00000009 134500 09997445

01 FC:116 400.00 CH

Date: April 15, 2002

Morgan & Finnegan, L.L.P.
345 Park Avenue
New York, New York 10154
Tel: (212) 758-4800
Fax: (212) 751-6849

Respectfully Submitted,
MORGAN & FINNEGAN, LLP

Maria C.H. Lin
Reg. No. 29,323



PATENT
DOCKET NO. 2896-4005
Express Mail #EV 062 746 614US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Lexun Xue et al.
Serial No. : 09/997,445
Filing Date : November 29, 2001
Group Art Unit: : TBA
For : Transgenic Dunaliella Salina As a Bioreactor

Commissioner For Patents
Washington, D.C. 20231

BOX: Missing Parts

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

In response to the Communication dated February 21, 2002 entitled "Notice To File Missing Parts of Application", Applicants submit herewith an executed Declaration and Power of Attorney and English translation of the application. Payment of the applicable surcharge of \$130.00 for small entity, is enclosed together with a copy of Notice.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment which may be required for this response to Deposit Account No. 13-4500. Order No. 2896-4005. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

Maria C.H. Lin
Registration No. 29,323

Dated: **April 15, 2002**

Morgan & Finnegan
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 (Fax)